

JC923 U.S. PTO
01/11/02

Please type a plus sign (+) inside this

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

		Attorney Docket No.	566.38683CX1
		First Inventor	Masato YOSHIDA
Title	ABRASIVE, METHOD OF POLISHING TARGET MEMBER AND PROCESS FOR PRODUCING SEMICONDUCTOR DEVICE		
Express Mail Label No.			

APPLICATION ELEMENTS

SEE MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231
J1017 U.S. PTO
01/11/02

- Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.
See 37 CFR 1.27.
- Specification [Total Pages: 43]
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Pages: _____]
- Oath or Declaration [Total Pages: 5]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
- i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
- Application Data Sheet. See 37 CFR 1.76

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
if applicable, all necessary
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
- c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS		
9. <input type="checkbox"/>	Assignment Papers (cover sheet & documents(s))	
10. <input type="checkbox"/>	37 CFR 3.73(b) Statement	<input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i>
11. <input type="checkbox"/>	English Translation Document (if applicable)	
12. <input checked="" type="checkbox"/>	Information Disclosure Statement (IDS)/PTO-1449	<input type="checkbox"/> Copies of IDS Citations
13. <input checked="" type="checkbox"/>	Preliminary Amendment	
14. <input checked="" type="checkbox"/>	Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>	
15. <input type="checkbox"/>	Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>	
16. <input type="checkbox"/>	Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.	
17. <input checked="" type="checkbox"/>	Other: Claim for Priority	

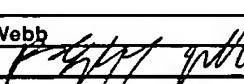
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP) of prior application No.: 09/581,814

Prior application information: Examiner: 3723 Group Art Unit: D. Nguyen

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

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Address					
City		State		Zip Code	
Country		Telephone	(703) 312-6600	Fax	(703) 312-6666
Name	Ralph T. Webb		Registration No. (Attorney/Agent)	33,047	
Signature			Date	January 11, 2002	

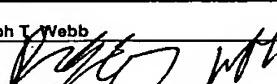
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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

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1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit overpayments to: Deposit Account Number 01-2135 Deposit Account Name Antonelli, Terry, Stout & Kraus, LLP <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant Claims small entity status. See 37 CFR 1.27						3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>Surcharge – late filing fee or oath</td><td>65</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>Surcharge – late provisional filing fee or cover sheet</td><td>25</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>Non-English specification</td><td>130</td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>For filing a request for ex parte reexamination</td><td>2,520</td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>Requesting publication of SIR prior to Examination action</td><td>920*</td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>Requesting publication of SIR after Examiner action</td><td>1,840*</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>Extension for reply within first month</td><td>55</td></tr> <tr><td>116</td><td>400</td><td>216</td><td>Extension for reply within second month</td><td>200</td></tr> <tr><td>117</td><td>920</td><td>217</td><td>Extension for reply within third month</td><td>460</td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>Extension for reply within fourth month</td><td>720</td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>Extension for reply within fifth month</td><td>980</td></tr> <tr><td>119</td><td>320</td><td>219</td><td>Notice of Appeal</td><td>160</td></tr> <tr><td>120</td><td>320</td><td>220</td><td>Filing a brief in support of an appeal</td><td>160</td></tr> <tr><td>121</td><td>280</td><td>221</td><td>Request for oral hearing</td><td>140</td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>Petition to institute a public use proceeding</td><td>1,510</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>Petition to revive – unavoidable</td><td>55</td></tr> <tr><td>141</td><td>1,280</td><td>241</td><td>Petition to revive – unintentional</td><td>640</td></tr> <tr><td>142</td><td>1,280</td><td>242</td><td>Utility issue fee (or reissue)</td><td>640</td></tr> <tr><td>143</td><td>460</td><td>243</td><td>Design issue fee</td><td>230</td></tr> <tr><td>144</td><td>620</td><td>244</td><td>Plant issue fee</td><td>310</td></tr> <tr><td>122</td><td>130</td><td>122</td><td>Petitions to the Commissioner</td><td>130</td></tr> <tr><td>123</td><td>50</td><td>123</td><td>Processing fee under 37 CFR 1.17(q)</td><td>50</td></tr> <tr><td>126</td><td>180</td><td>126</td><td>Submission of Information Disclosure Stmt</td><td>180</td></tr> <tr><td>581</td><td>40</td><td>581</td><td>Recording each patent assignment per property (times number of properties)</td><td>40</td></tr> <tr><td>146</td><td>740</td><td>246</td><td>For each additional invention to be examined (37 CFR § 1.129(a))</td><td>370</td></tr> <tr><td>149</td><td>740</td><td>249</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td>370</td></tr> <tr><td>179</td><td>740</td><td>279</td><td>Request for Continued Examination (RCE)</td><td>370</td></tr> <tr><td>169</td><td>900</td><td>169</td><td>Request for expedited examination of a design application</td><td>900</td></tr> <tr><td colspan="6">Other fee (specify) _____</td> </tr> </tbody> </table>						Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	105	130	205	Surcharge – late filing fee or oath	65	127	50	227	Surcharge – late provisional filing fee or cover sheet	25	139	130	139	Non-English specification	130	147	2,520	147	For filing a request for ex parte reexamination	2,520	112	920*	112	Requesting publication of SIR prior to Examination action	920*	113	1,840*	113	Requesting publication of SIR after Examiner action	1,840*	115	110	215	Extension for reply within first month	55	116	400	216	Extension for reply within second month	200	117	920	217	Extension for reply within third month	460	118	1,440	218	Extension for reply within fourth month	720	128	1,960	228	Extension for reply within fifth month	980	119	320	219	Notice of Appeal	160	120	320	220	Filing a brief in support of an appeal	160	121	280	221	Request for oral hearing	140	138	1,510	138	Petition to institute a public use proceeding	1,510	140	110	240	Petition to revive – unavoidable	55	141	1,280	241	Petition to revive – unintentional	640	142	1,280	242	Utility issue fee (or reissue)	640	143	460	243	Design issue fee	230	144	620	244	Plant issue fee	310	122	130	122	Petitions to the Commissioner	130	123	50	123	Processing fee under 37 CFR 1.17(q)	50	126	180	126	Submission of Information Disclosure Stmt	180	581	40	581	Recording each patent assignment per property (times number of properties)	40	146	740	246	For each additional invention to be examined (37 CFR § 1.129(a))	370	149	740	249	For each additional invention to be examined (37 CFR § 1.129(b))	370	179	740	279	Request for Continued Examination (RCE)	370	169	900	169	Request for expedited examination of a design application	900	Other fee (specify) _____					
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SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Ralph T. Webb	Registration No. (Attorney/Agent)	33,047	Telephone	(703) 312-6600
Signature				Date	01/11/2002

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